# STATE OF NORTH DAKOTA BEFORE THE INSURANCE COMMISSIONER

In the Matter of	) FINDINGS OF FACT,	
	) CONCLUSIONS OF LAV	٧,
Sherika Brant <mark>l</mark> ey,	) AND DEFAULT ORDER	
NPN 17728095,	)	
	) CASE NO. AG-16-660	
Respondent.	)	

TO: Sherika Brantley, 1307B Bonnie Brae Street, Austin, TX 78753-4504

On August 18, 2016, a Complaint for Revocation of License was filed with the Insurance Commissioner by Kelvin W. Zimmer, Director of Producer Licensing for the North Dakota Insurance Department, alleging Sherika Brantley, NPN 17728095 ("Respondent"), committed various violations of North Dakota insurance statutes.

The Complaint was mailed to Respondent at the address on file with the Department by the United States Postal Service via certified mail, return receipt requested, on August 18, 2016. On October 13, 2016, the certified mail was returned to the Department marked "Return to Sender – Vacant – Unable to Forward." Proof of service of the Complaint is annexed to these Findings. Respondent failed to answer the Complaint within 20 days as required under N.D.C.C. § 28-32-21(1)(e). As such, on October 17, 2016, an Application for Default Order was made deeming the allegations made in the Complaint to be admitted pursuant to N.D.C.C. § 28-32-30.

Based on the allegations made in the Complaint and on the evidence presented in the Affidavits filed in support of the Complainant's Application for Default Order, the following Findings of Fact, Conclusions of Law, and Default Order are entered:

#### FINDINGS OF FACT

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Respondent is presently, and has at all times pertinent to this action, been an insurance producer licensed in North Dakota.

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The Complaint was mailed to Respondent at the address on file with the Department by the United States Postal Service via certified mail, return receipt requested, on August 18, 2016. On October 13, 2016, the certified mail was returned to the Department marked "Return to Sender – Vacant – Unable to Forward." Respondent failed to provide a written response to the Complaint.

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Respondent has not answered the Complaint within 20 days as required under N.D.C.C. § 28-32-21(1)(e).

IV

According to information obtained by the Department, on or about May 23, 2016, Respondent's appointment with Amica Mutual Insurance Company was terminated for cause. The reason for the termination was stated as the company's investigation concluded that Respondent knowingly and purposefully manipulated customer transactions for her own personal gain in order to avoid losing a sales credit toward a

monthly bonus. Respondent's actions that led to the termination for cause are in violation of N.D.C.C. § 26.1-26-42(6).

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On or about June 13, 2016, a letter was sent by certified mail, return receipt requested, to Respondent requesting information relating to the termination for cause. Delivery of the certified mail was accepted on June 17, 2016. To date, Respondent has failed to respond to the Department's request for information. Respondent's failure to respond to a written request for information by the Department within 20 days is a violation of N.D.C.C. § 26.1-26-42(14).

VI

Respondent's actions constitute violations of N.D.C.C. § 26.1-26-42(6) and (14) and are grounds for revocation of Respondent's insurance producer license.

#### CONCLUSIONS OF LAW

- Respondent was properly served with the Complaint in accordance with the North Dakota Rules of Civil Procedure.
- 2. Because of her failure to answer the Complaint within 20 days of its proper service, Respondent is in default under N.D.C.C. § 28-32-30.
- 3. Because Respondent is in default, the allegations in the Complaint are deemed admitted pursuant to N.D.C.C. § 28-32-30.
- 4. Respondent's violations of N.D.C.C. § 26.1-26-42(6) and (14) are grounds for revocation of her insurance producer license.
- 5. Under N.D.C.C. § 26.1-26-42, the Commissioner of Insurance has authority to revoke Respondent's producer license for the violations cited above.

#### **DEFAULT ORDER**

Based on the foregoing Findings of Fact and Conclusions of Law, IT IS

ORDERED that the North Dakota insurance producer license of Sherika Brantley, NPN

17728095, be **REVOKED** effective after the time for filing a motion to vacate a Default

Order pursuant to N.D.C.C. § 28-32-30 expires.

DATED at Bismarck, North Dakota, this 17th day of October, 2016.

Adam Hamm Commissioner

N.D. Insurance Department 600 East Boulevard Avenue Bismarck, ND 58505 (701) 328-2440 TO: Sherika Brantley 1307B Bonnie Brae Street Austin, TX 78753-4504

SENDER: Jeff Ubben

REFERENCE: Sherika Brantley

9314 8699 0430 0025 8173 97

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Receipt for
Certified Mail•

No Insurance Coverage Provided Do Not Use for International Mail POSTMARK OR DATE

8/18/16

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Available Actions

Return Receipt Electronic



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Tracking Number: 9314869904300025817397

### Product & Tracking Information

Postal Product: First-Class Mail®

Certified Mail"

DATE & TIME

STATUS OF ITEM

LOCATION

October 13, 2016, 9:40 am

Delivered, To Original

Sender

BISMARCK, ND 58505

Your item has been delivered to the original sender at 9.40 am on October 13, 2016 in BISMARCK, ND

October 12, 2016, 1:43 pm

Arrived at USPS Facility

BISMARCK, ND 58504

October 9, 2016, 1:32 am

Departed USPS Facility

NORTH TEXAS PROCESSING AND DISTRIBUTION CENTER

October 8, 2016, 6:08 pm

Arrived at USPS Facility

NORTH TEXAS PROCESSING AND DISTRIBUTION CENTER

September 10, 2016, 2:38

Unclaimed/Max Hold Time

Expired

AUSTIN, TX 78758

Reminder to Schedule Redelivery of your item

August 22, 2016, 11:57 am

Notice Left (No Authorized Recipient Available)

AUSTIN, TX 78753

August 22, 2016, 8:21 am

Out for Delivery

August 22, 2016 , 8:11 am

Sorting Complete

AUSTIN, TX 78753

August 21, 2016, 10:02 am

Arrived at Hub

AUSTIN, TX 78753

AUSTIN, TX 78758

August 20, 2016, 9:42 pm

Departed USPS Facility

AUSTIN, TX 78710

August 20, 2016, 3:32 am

Arrived at USPS Facility

AUSTIN, TX 78710

August 20, 2016, 3:20 am

Departed USPS Facility

FORT WORTH, TX 76161

August 20, 2016, 3:01 am

Arrived at USPS Facility

August 19, 2016, 12:02 am

Departed USPS Facility

FORT WORTH, TX 76161

August 18, 2016, 10:53 pm

Arrived at USPS Facility

BISMARCK, ND 58504 BISMARCK, ND 58504